

# Appendix B

# **Forms**

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#### Introduction

This appendix includes official forms that are used as part of the phytosanitary export certification process that Authorized Certification Officials (ACOs) or exporters may complete (wholly or partially), sign, and distribute. In the interest of legibility, the forms in this appendix have been typed; however, most forms may be completed by legibly hand printing in ink.



These forms are supplemental to the Federal plant export certificates that ACOs issue for certifiable plants and plant products offered for export.

Directions for completing, endorsing, distributing, and maintaining Federal plant export certificates are located under *Procedures*, *Export Certificates* on page 2-5-1.

# APHIS Form 7060, Official Warning, Violation of Federal Regulations

	ES DEPARTMENT OF AGRICULTURE PLANT HEALTH INSPECTION SERVICE	CASE NO.
		VIOLATOR
		Violation
	ROARTMENT	
OF BLAL WARNING		
VIOLATION (	E ED ALLE CULATIONS	ADDRESS (Street, City, State, Zip Code)
	A Committee of the Comm	
	e has evidence that on or about llowing violation of Federal Regulations:	, 19_ you or your
•		
		A
Titles 7 & 9 Code of Federal R	legulations were promulgated to help preven	t the spread of animal and plant pests and diseases a
assure the humane treatment (	of animals. Since the violations of the regula	tions can have serious and costly impact detrimental
assure the humane treatment of the public interest, you are wa civil penalty or criminal pros	of animals. Since the violations of the regular arned of this violation. Any further violation	tions can have serious and costly impact detrimental n of these regulations may result in the assessment of
assure the humane treatment of the public interest, you are want of the penalty or criminal prosecution.  APHIS official.	of animals. Since the violations of the regular arned of this violation. Any further violation	tions can have serious and costly impact detrimental n of these regulations may result in the assessment of
assure the humane treatment of the public interest, you are want or criminal prosectives official.	of animals. Since the violations of the regular arned of this violation. Any further violation	tions can have serious and costly impact detrimental n of these regulations may result in the assessment of ing this warning or violation, please contact the list
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assure the humane treatment of the public interest, you are waterist penalty or criminal prosecution of the public and prosecution of the public and publi	of animals. Since the violations of the regular arned of this violation. Any further violation ecution. If you have any questions concerning the property of t	TELEPHONE NO. AC ( )

FIGURE B-1-1 Example of APHIS Form 7060, Official Warning, Violation of Federal Regulations (blank)

#### **Purpose of APHIS Form 7060**

APHIS Form 7060, Official Warning, Violation of Federal Regulations, is issued by USDA-APHIS-PPQ Officers-in-Charge when the violation **does not** warrant further action and **only** after consultation with local or regional Investigative and Enforcement Services (IES) officials. USDA-APHIS-PPQ Officers-in-Charge use APHIS Form 7060 to do the following:

- ◆ Inform the exporter or agent of the violation
- ◆ Advise the exporter or agent of the correct procedure to follow for making changes to a Federal plant export certificate
- ◆ Inform the exporter or agent of the consequences for failing to follow procedures

APHIS Form 7060 may be used instead of pursuing an investigation for the following prohibited practices that could result in a foreign government rejecting a Federal plant export certificate and therefore rejecting the shipment. These prohibited practices should **not** warrant a criminal investigation, and unless an attempt to defraud is apparent, these first-time violations would warrant issuance of APHIS Form 7060.

- ◆ Addition of a letter of credit or other commercial reference
- ◆ Corrections to the number (generally **only** decreases) and description of packages, or distinguishing marks
- ◆ Correction to or deletion of botanical names of plants
- ◆ Correction to the means of conveyance
- ◆ Correction to the name of the exporter or consignee
- ◆ Decrease in the quantity declared

If the exporter is apparently seeking certification of a shipment that has **not** been inspected, then APHIS Form 7060 **cannot** be used.

#### **Instructions to Complete APHIS Form 7060**

See **Table B-1-1 on page B-1-4** for instructions on how to complete APHIS Form 7060.



If APHIS Form 7060 is issued, then **no** further action may be taken by IES on the incident.

TABLE B-1-1 Instructions to Complete APHIS Form 7060, Official Warning, Violation of Federal Regulations

If the block is:	Then follow these instructions to complete APHIS Form 7060:		
Case No.	Leave blank; for IES use		
Violator	List the name of the violator and/or company		
Address	List the violator's street address, city, state, and zip code		
Description of Violation	<ul> <li>◆ In the pre-printed statement, fill in the date of the violation</li> <li>◆ Briefly describe the certification violation</li> </ul>		
	EXAMPLE	Unauthorized change made to a Federal phytosanitary certificate that is in violation of 7CFR Part 353 and may be prosecuted under Plant Protection Act (7USC 7701) and other applicable laws.	
APHIS Official	Enter the name and title of the USDA-APHIS-PPQ official that will sign the APHIS Form 7060		
Office Address	Enter the USDA-APHIS-PPQ official's office address and phone number		
Signature	USDA-APHIS-PPQ official signs		
Date Issued	List the date the APHIS Form 7060 was signed		
For Personal Service - Received By	List the name and signature of the violator's receiving official (violator entry)		
Date Received	List the date APHIS Form 7060 is received by the violator (violator entry)		
For Certified Mail - Receipt No.	List the certified mail receipt number for APHIS Form 7060		

#### **Distribution of APHIS Form 7060**

Before issuing the completed APHIS Form 7060 to the violator, make two copies of the form. Send via FAX or mail, one copy to the local or regional IES official<sup>1</sup> and one copy to IES headquarters at the following address:

USDA-APHIS-IES

ATTENTION: Alan Christian, Director

4700 River Road, Unit 85 Riverdale, Maryland 20737

FAX: 301-734-4328 Phone: 301-734-8684

<sup>1</sup> For local and regional IES contacts, see web site address: <a href="http://www.aphis.usda.gov/ies/contacts.html">http://www.aphis.usda.gov/ies/contacts.html</a>

# **PPQ Form 519, Compliance Agreement**

AN	NITED STATES DEPARTMENT OF AGRICU NIMAL, AND PLANT HEALTH INSPECTION SI ANT PROTECTION AND QUARANTINE PRO	RVICE	
со	MPLIANCE AGREEM	MENT	
Continental Cotton Warehouse P.O. Box 1330 Memphis, TN	3440 Founta Memphis, T (615) 555-34	N	
REGULATED ARTICLE(S)			
Cotton Bales  Applicable Federal on State Cooperative Domestic Quarantinession nec	GULATIONS D. WIL	RECEIVE FOREIGN SHIPMENTS	
7 CFR 353		YES	NO
5. I/We agree to the following:		Branch offices to receive foreign s	hipments are indicated by asterisk on attachment
contaminants. C. To load only clearly marked bales that have		from uncompressed	cotton into clean containers.
or their authorized agent. Such files will be ava officials.  E. To contact PPQ prior to an expected shipping Certification of Domestic Plants and Plant Product. Compliance agreements are non-transferable/she must notify the local USDA office prompfor compliance for all consitions in the Compliancy with the regulated articles.	allable for inspection u g date by using a PPQ i ucts for Export, for eac ole. If the individual lea otly. With the signature	eales, marks, and the pon request by feder Form 572, Application th shipment. ves his/her present e below, the person u	signature of the manager or their al or state plant regulatory for inspection and imployer or company, indertakes the responsibility
D. To maintain files of shipping documents shoor their authorized agent. Such files will be avaofficials.  E. To contact PPQ prior to an expected shipping Certification of Domestic Plants and Plant Productions.  F. Compliance agreements are non-transferable/she must notify the local USDA office promptor compliance for all consitions in the Compliainvolved with the regulated articles.  G. (List other conditions as required).	allable for inspection u g date by using a PPQ i ucts for Export, for eac ole. If the individual lea otly. With the signature	eales, marks, and the pon request by feder Form 572, Application th shipment. ves his/her present e below, the person u	signature of the manager or their al or state plant regulatory for inspection and imployer or company, indertakes the responsibility
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or their authorized agent. Such files will be ava officials.  E. To contact PPQ prior to an expected shipping Certification of Domestic Plants and Plant Produ F. Compliance agreements are non-transferab he/she must notify the local USDA office promp for compliance for all consitions in the Complia involved with the regulated articles.  G. (List other conditions as required).	g date by using a PPQ ( ucts for Export, for eac ole. If the individual lea otly. With the signature ance Agreement for all me Manager validate this agreeme	pales, marks, and the pon request by feder form 572, Application the shipment. The shipment expenses the below, the person upersons he/she direct the shipment which shall remain	signature of the manager or their all or state plant regulatory  for inspection and  imployer or company, indertakes the responsibility city supervises who may be  p. DATE SIGNED  December 4, 2001  in Acceptant No.
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or their authorized agent. Such files will be avaofficials.  E. To contact PPQ prior to an expected shipping Certification of Domestic Plants and Plant Product.  F. Compliance agreements are non-transferable/she must notify the local USDA office prompfor compliance for all consitions in the Compliainvolved with the regulated articles.  G. (List other conditions as required).  7 STORATURE.  The affixing of the signatures below will effect until cancelled, but may be revised.	g date by using a PPQ ( ucts for Export, for eac ole. If the individual lea otity. With the signature ance Agreement for all Manager  validate this agreeme d as necessary or revo	pales, marks, and the pon request by feder form 572, Application the shipment. The shipment is below, the person upersons he/she directly below, the person upersons he/she directly below to the shall remain ked for noncompliant ked for noncompliant which shall remain ked for noncompliant led to the shall remain led t	signature of the manager or their all or state plant regulatory  for inspection and  amployer or company, indertakes the responsibility city supervises who may be  public signed December 4, 2001  in the Addresser No.  11. Date of Agreement
or their authorized agent. Such files will be avaofficials.  E. To contact PPQ prior to an expected shipping Certification of Domestic Plants and Plant Product.  F. Compliance agreements are non-transferable/she must notify the local USDA office promptor compliance for all consitions in the Complianivolved with the regulated articles.  G. (List other conditions as required).  The affixing of the signatures below will effect until cancelled, but may be revised.  Robert Willis, Officer-In-Charge	g date by using a PPQ ucts for Export, for each ole. If the individual lead of the signature ance Agreement for all Manager  validate this agreemed as necessary or revo	pales, marks, and the pon request by feder form 572, Application the shipment. The present expenses the below, the person upersons he/she directly below to the person with the person below the	signature of the manager or their all or state plant regulatory  for inspection and  amployer or company, indertakes the responsibility city supervises who may be  public signed December 4, 2001  in the Addresser No.  11. Date of Agreement

FIGURE B-1-2: Example of PPQ Form 519, Compliance Agreement

#### **Purpose of PPQ Form 519**

PPQ Form 519, Compliance Agreement, is used to formalize agreements and to provide signed, written agreement of methods, conditions, and procedures necessary for compliance with regulations. PPQ Form 519 has a variety of uses in both domestic and foreign quarantine programs. PPQ Form 519 is also used to submit as evidence for violation cases.

#### **Instructions to Complete PPQ Form 519**

Review compliance agreements at least annually, but preferably twice a year. Amend compliance agreements as appropriate.

Any oral cancellation of a compliance agreement **must** be confirmed in writing as soon as possible. The establishment has 10 days to appeal the cancellation. Appeals **must** be made to USDA-APHIS-PPQ Deputy Administrator.

Complete PPQ Form 519 as instructed in **Table B-1-1**. See also **Compliance Agreements for Compressed**, **Baled Cotton** on page 3-2-1.

TABLE B-1-1: Instructions to Complete PPQ Form 519, Compliance Agreement

Block	Instructions		
1. NAME AND MAILING ADDRESS	List the name and mailing address of the person or establishment with whom the agreement is being made		
2. LOCATION	List the location of the specific property(ies) for which the agreement is signed		
3. REGULATED ARTICLE(S)	List the specific regulated articles to which the agreement applies, such as "Cotton Bales"		
4. APPLICABLE FEDERAL OR STATE COOPERATIVE DOMESTIC QUARANTINE(S) OR REGULATIONS	List the legislative titles, parts, and subparts for the regulated articles, such as "7CFR 353"		
5. WILL RECEIVE FOREIGN SHIPMENTS	Circle Yes or No based on the warehouse		
6. I/WE AGREE TO THE FOLLOWING	Outline the stipulations which apply to the establishment for each XX or regulation affecting the establishment		
	Make clear to the establishment that stipulations in the compliance agreement <b>do not</b> preclude compliance with other sections of a quarantine or regulation		
	3. If there is <b>not</b> enough space to list the stipulations, then write "See attached sheets" (attach the sheets to the original PPQ Form 519 and all its copies)		
7. SIGNATURE	Have the responsible official of the establishment sign		
8. TITLE	List the responsible official's title		
9. DATE SIGNED	List the date the establishment official signed the agreement		
10. AGREEMENT NO.	Assign a compliance agreement number		
11. DATE OF AGREEMENT	List the date of the agreement		
12. PPQ OFFICIAL (NAME AND TITLE)	List the name and title of the USDA-APHIS-PPQ official executing this agreement		
13. ADDRESS	List the USDA-APHIS-PPQ address		
14. SIGNATURE	USDA-APHIS-PPQ Officer-in-Charge signs (at a minimum)		
15-17	Complete Blocks 15-17 <b>only</b> when the State is involved in cooperating with enforcing Federal quarantines.		
15. STATE AGENCY OFFICIAL	List the name and title of the State official		
16. ADDRESS	List the State agency's name and address		
17. SIGNATURE	Have the State official sign		

Distribute PPQ Form 519 as follows in Table B-1-2:

TABLE B-1-2: Distribution of PPQ Form 519, Compliance Agreement

If the compliance agreement:	Then:
Affects one work unit	GIVE the original to the establishment     KEEP a copy for PPQ files in the area where the establishment is located
Affects more than one work unit	GIVE the original to the establishment     GIVE copies to all work units affected by the agreement     KEEP a copy for PPQ files in the area where the establishment is located

## PPQ Form 553, Conifer Solid Wood Packing Material to the People's Republic of China

WARNING: No changes, corrections, alterations, nor additions are permitted to this Form. Failure to comply is a violation of 7 CFR Part 353 and/or other applicable regulations or laws, is subject to civil and criminal penalties under 7 U.S.C. s 7734, and may be prosecuted under 18 U.S.C. s 1001 and/or other applicable laws.

OMB No. 0579-0147



U.S. DEPARTMENT OF AGRICULTURE Animal and Plant Health Inspection Service Plant Protection and Quarantine Conifer Solid Wood Packing Material to the People's Republic of China

#### **CERTIFICATE OF HEAT TREATMENT**

TO: State Administration for Entry-Exit Inspection and Quarantine (SAIQ) of the People's Republic of China

This is to certify that the conifer packing material in this shipment has been heat treated through a treatment process that increased the minimum core wood temperature to 56 degrees Celsius for 30 minutes.

. Name and Address of Exporter:	2. Name and Address of Consignee:
3. Signature of Exporter	
	nt in this Certificate of Heat Treatment or misrepresentation relative to this heat to \$250,000 (7 U.S.C. s 7734(b)), punishable by a fine of not more than \$10,000, or
SHIPMENT	IDENTIFICATION
	ERTIFICATION (Official Use Only)
(Official Stamp)	5. Date of Issue
	6. Issuing Office (City and State)
	7. Other Information
. Signature of Endorsing Official	
o financial liability shall attach to the United States Department of a	Agriculture or to any officer or representative of the service with respect
ontrol number for this information collection is 0579-0147. The time required to complet	d to a collection of information unless it displays a valid OMB control number. The valid OM te this information collection is estimated to average .017 minutes/hours per response, includin aining the data needed, and completing and reviewing the collection of information.
January and management of the state of the s	

FIGURE B-1-3: Example of PPQ Form 553, Certificate of Heat Treatment (blank)

#### **Purpose of PPQ Form 553**

PPQ Form 553 is an official document used to certify the heat treatment of coniferous solid wood packing material associated with plants or unprocessed or unmanufactured products for export to the People's Republic of China.

The purpose of PPQ Form 553 is to endorse the exporter's statement that the coniferous packing materials have been heat treated in the United States or in Canada by being subjected to a minimum core temperature of 56 degrees Celsius for 30 minutes.

Hong Kong addresses are acceptable if the U.S. exporter indicates that the materials exported to Hong King will be reshipped to other regions of China by the Hong Kong consignee.

#### **Exporter Instructions to Partially Complete PPQ Form 553**

The exporter is responsible for completing those parts of PPQ Form 553 that cover shipment information and shipment identification.

PPQ Form 553 is available for public use from the USDA-APHIS-PPQ web site address:

<a href="http://www.aphis.usda.gov/oa/chinaswp/ppq553.pdf">http://www.aphis.usda.gov/oa/chinaswp/ppq553.pdf</a>.

ACOs endorse a partially completed original and one (1) copy of PPQ Form 553 presented by an exporter, shipper, or broker. See Table 2-5-1 on page 2-5-13 under *Procedures, Export Certificates*, for specific instructions on how ACOs endorse a partially completed PPQ Form 553.

Use **Table B-1-3 on page B-1-11** (or the reverse side of the form) for specific instructions, techniques, and examples about how to partially complete PPQ Form 553.

<sup>2</sup> The word spacing on this official document may vary depending on the printer used to download this document; therefore, the exporter may need to adjust the printer margins to 0.5 inches for consistent results.

TABLE B-1-3: Exporter Instructions to Partially Complete PPQ Form 553, Certificate of Heat Treatment

Block:	Then follow these instructions to partially complete PPQ Form 553:		
<b>WARNING</b> This warning alerts all parties that any alternation, forgery, or unauthorized use of the Federal plant export certificate is subject to civil penalties or punishable by a fine or imprisonment.			
SHIPMENT INFORMATION			
1. Name and Address of Exporter	◆ ENTER the complete name and mailing address of the exporter (street or P.O. Box, city, State)		
	◆ SPELL OUT names of cities and States (preferred)		
	Important	Exporter's address <b>must</b> be in the United States or a U.S. territory or commonwealth, which includes American Samoa, Guam, Northern Mariana Islands, Puerto Rico, and U.S. Virgin Islands.	
2. Name and Address of	ENITED the co	emplete name and physical address of the	
Consignee		signee in the People's Republic of China	
	Important	Consignee's address <b>must</b> be in the People's Republic of China. Hong Kong addresses are acceptable if the materials exported to Hong Kong will be reshipped to other regions of China by the Hong Kong consignee.	
3. Signature of Exporter	SIGN your name as the exporter; your signature attests to the accuracy of the heat treatment and certifies that the treatment was performed and documented		
SHIPMENT IDENTIFICATION			
4. Description of Consignment	<ul> <li>ENTER a complete description of the shipment including quantity, weight, goods, and packaging</li> <li>The description should include the number of coniferous solid wood packing materials in the shipment (see examples below)</li> </ul>		
	EXAMPLE	52 <b>conifer</b> pallets with 240 cardboard cartons of automobile spare parts or 2 <b>conifer</b> crates containing turbine assemblies.	
ENDORSEMENT OF CERTIFICA	ATION		
5 through 8	LEAVE BLANK; endorsed by ACO		

Present a partially completed original of PPQ Form 553 to the nearest ACO for endorsement. To avoid potential delays, exporters are encouraged to have their certificates of heat treatment endorsed by an ACO as close to the initial point of shipment as possible and they should **not** wait for endorsement at the final port of export. After endorsing the certificate, the ACO will return the endorsed PPQ Form 553 and one copy to the exporter.

See local USDA-APHIS-PPQ offices at web site address:

<a href="http://www.aphis.usda.gov/travel/aqi.html">http://www.aphis.usda.gov/travel/aqi.html</a>

See local USDA-APHIS-PPQ cooperator offices at web site address:

<a href="http://www.aphis.usda.gov/npb/npbmemb.html">http://www.aphis.usda.gov/npb/npbmemb.html</a>

#### **Maintenance of PPQ Form 553**

Exporters are responsible for keeping documentation of heat treatment for 1 year after date of issuance and upon request **must** have the documentation available for official review.

# PPQ Form 572, Application for Inspection and Certification of Domestic Plants and Plant Products for Export

ANIMAL PL APPLICATION FOR INSPEC	J.S. DEPARTMENT OF AGRICULTURE AND PLANT HEALTH INSPECTION SERVICE ANT PROTECTION AND QUARANTINE TION AND CERTIFICATION OF P PRODUCTS FOR EXPORT	LANTS AND PLANT	to the Office treatment, and	IS: APPLICANT - Forwar in Charge where ins certification will be given a 1 thru 11. OFFICER - 7.	pection (Item 4
. NAME AND ADDRESS OF EXPORTER		3. NAME AND ADDRESS OF API	PLICANT (or export	er's agent)	
			AREA CO	DE AND PHONE NO.	
. NAME AND ADDRESS OF FOREIGN CONSIG	SNEE	PLACE WHERE ARTICLES WI TREATMENT AND CERTIFICATION	ILL BE MADE AVAI ON (Port and location	LABLE FOR INSPECTION AI	ND/OR
		5. APPROX. DATE OF DEPARTU	JRE	6. PORT OF EXPORT	
	7. DESCRIPTION OF ARTIC	CLES TO BE CERTIFIED			
а.		<del></del>		· · · · · · · · · · · · · · · · · · ·	
QUANTITY AND NAME OF PRODUCE AND					
BOTANICAL NAME					
o. ·					
NUMBER AND					
DESCRIPTION OF PACKAGES					
		·		··· , ···	
c.			-		
DISTINGUISHING		<del></del>		<del></del>	
MARKS					
d.	· · · · · · · · · · · · · · · · · · ·				-
CERTIFIED ORIGIN					
	· _				
8. DECLARED MEANS OF CONVEYANCE	<u> </u>			num) of the nations "	otod !-
		I certify that the origin (prepresented.	nace where gr	own) or the articles in	sieu is
9. DECLARED POINT OF ENTRY		10. SIGNATURE (applicant or ex	porter's agent)	11. DATE	
	EXPORT INSPECTION DATA - (To be filled in	by Plant Protection and Quarantine	Officer)		
12. LOCATION OF ARTICLES		13. % OF MATERIALS EXAMINE		. % OF MATERIALS INFEST	ΓED
15. FINDINGS AND/OR TREATMENT GIVEN (U	Use reverse if necessary)				
16. SIGNATURE			17	7. DATE AND TIME INSPEC	TED

FIGURE B-1-4: Example of PPQ Form 572, Application for Inspection and Certification of Domestic Plants and Plant Products for Export

### **Purpose of PPQ Form 572**

PPQ Form 572, Application for Inspection and Certification of Domestic Plants and Plant Products for Export, is an exporter's application for services, and is utilized by Authorized Certification Officials as a worksheet to prepare Federal plant export certificates and to record inspection results. Although this form is required by regulation and is the preferred method to request phytosanitary export certification, in practice alternative methods are used to obtain the necessary information for inspection and certification.

#### **Exporter Instructions to Complete PPQ Form 572**

PPQ Form  $572^3$  is available for public use from the following web site address:

<a href="http://www.aphis.usda.gov/library/forms">http://www.aphis.usda.gov/library/forms</a>

PPQ Form 572 is recommended for those exporters who infrequently export plants or plant products, or who **must** send plants or plant products to USDA-APHIS-PPQ offices for inspection. Exporters who partially complete PPQ Forms 577 and PPQ Forms 579 to apply for inspection may **not** be required to submit an application using PPQ Form 572.

#### **ACO Instructions to Complete PPQ Form 572**

ACOs record the results of inspection in the section titled Export Inspection Data. Inspection results may include discrepancies found in the description of articles to be certified (i.e., quantity, name, number, description of packages, distinguishing marks, or certified origin). See Table B-1-4 for instructions to complete the Export Inspection Data of PPQ Form 572.



ACOs are responsible for holding in strict confidence the information on PPQ Form 572.

<sup>3</sup> This application may be available online at locations piloting the Phytosanitary Certificate Issuance Tracking System (PCIT); contact the PCIT Coordinator of USDA-APHIS-PPQ Export Services for further information.

TABLE B-1-4: Instructions to Complete PPQ Form 572, Application for Inspection and Certification of Domestic Plants and Plant Products for Export

If the block is:	Then follow these instructions to complete PPQ Form 572:			
1-11	<ol> <li>Exporter, shipper, or broker completes <i>Blocks 1-11</i></li> <li>ACOs check these blocks for legibility, accuracy, and completeness in order to complete a Federal plant export certificate</li> </ol>			
Export Inspection Da	Export Inspection Data (to be completed by ACOs)			
12. LOCATION OF ARTICLES	LIST the place where you inspected the plants or plant products			
13. % OF MATERIALS EXAMINED	LIST the percentage (how much) of plants or plant products that were inspected			
14. % OF MATERIALS INFESTED	LIST the percentage of plants or plant products that were infested or infected			
15. FINDINGS AND/OR	LIST all findings and/or treatments given as a result of your inspection, which may include the following:			
TREATMENT GIVEN	Pest or disease if infested or infected			
(use reverse side of form if necessary)	2. Details of the treatment if treated			
,	3. Exporter actions to meet the phytosanitary import requirements (e.g., repackaging, reconditioning, or debarking)			
	4. Unique or unusual situation about the shipment such as additional declarations to be entered on the Federal plant export certificate			
	5. Supporting documents provided by the exporter such as import permit copy, acceptable inspection certificate, State phytosanitary certificate, treatment information			
	6. Intended use of commodity if needed to determine the phytosanitary requirements of the importing country (e.g., wheat grain or wheat seeds)			
16. SIGNATURE	SIGN your name (ACO)			
17. DATE AND TIME INSPECTED	ENTER the date and time the plants or plant products were inspected			

Once the Federal plant export certificate is issued, then attach PPQ Form 572, with inspection results, to the Issuing Office Copy.

There is **no** other distribution of PPQ Form 572 as this form **only** serves as the application for certification and a worksheet for ACOs. PPQ Form 580, ACO Identification Card.

### PPQ Form 580, ACO Identification Card



FIGURE B-1-5: Example of PPQ Form 580, ACO Identification Card

#### **Purpose of PPQ Form 580**

PPQ Form 580, ACO Identification Card, is used to provide Authorized Certification Officials (ACOs) with an official document of authorization that they can carry with them while certifying plants and plant products offered for export.

PPQ Form 580 is issued to State cooperators and PPQ officers who meet the educational and work experience requirements for an agent or for an inspector and who successfully pass Export Certification Training, making them eligible to be designated as ACOs in the USDA-APHIS-PPQ phytosanitary export certification program. The requirements for agents (state cooperators) and for inspectors (PPQ officers) are in accordance with 7CFR 353.6 and the North American Plant Protection Organization Standard for the Accreditation for Individuals to Sign Federal Phytosanitary Certificates (RSPM #8).

PPQ Form 580 is valid for 3 years.



PPQ regional offices will maintain a supply of ACO Identification Cards. PPQ Form 580 should **not** be issued to ACOs until the ACO database is operational. If ECSs issue PPQ Form 580s to ACOs with their current identification numbers before the ACO database is operational, then the cards will have to be reissued when new identification numbers are assigned.

#### Instructions to Complete PPQ Form 580

Export Services grants accreditation once the work experience, educational, and training requirements are met. The ACOs date of authorization is entered in the ACO database. Once accreditation is granted, the ECS completes PPQ Form 580 for ACOs under their jurisdiction. See **Table B-1-5** for instructions to compete PPQ Form 580.

TABLE B-1-5: Instructions to Complete PPQ Form 580, ACO Identification Card

If the block is:	Then follow these instructions to complete PPQ Form 580:
AUTHORIZED CERTIFICATION OFFICIAL (ACO) (Signature)	Leave blank and direct the ACO to sign the card upon receipt because the card is <b>not</b> official until signed
ACO ID NO.	Enter the identification number generated by the ACO database
NAME (typed or printed)	Type or print the ACO's name
DATE ISSUED	Enter the date
DATE EXPIRED	Enter the date the ACO's accreditation will expire (3 years from the date of accreditation)
Export Certification Specialist (ECS)	Sign your name (ECS)
ACO CONTACT INFORMATION (Reverse side)	Cross out "ACO" and replace with "ECS" (printing error)     Fill out your contact information using pencil (allowing for changes within the 3 years)

ECSs may mail or personally give the ACO ID Card to each individual, reminding the ACOs that the card is **not** official until the ACOs apply their signature to the card.

#### **Maintenance of PPQ Form 580**

Each ACO is responsible for keeping their ID Card for 3 years. Prior to the expiration date, ACOs or their supervisors should contact their ECS to schedule reaccreditation training.

All ACOs **must** successfully pass reaccreditation training and a renewal exam in order to maintain their accreditation. Once completed, they will be issued a new ACO ID card with an updated expiration date.

**Appendix B:** Forms PPQ Form 580, ACO Identification Card